

PTO/SB/81 (04-05)

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and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Application Number	10/679,127
Filing Date	October 3, 2003
First Named Inventor	Wayne Lumpkin
Title	Cycle Grip
Art Unit	3676
Examiner Name	Michael J. Kyle
Attorney Docket Number	AVID.20

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☐ Practitioners associated with the Customer Number:

OR

☒ Practitioner(s) named below:

Name	Registration Number
Milan Milosevic	42005
Lisa Wunderlich	40307

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

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☐ Firm or  
Individual Name

Address

City

State

Zip


Country

Telephone

Email

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Signature		Date	August 4, 2005
Name	Brian Benzer	Telephone	312-664-8800
Title and Company	Corporate Secretary, SRAM Corporation		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/98 (09-04)

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Wayne LumpkinApplication No./Patent No.: 10/678,127 Filed/Issue Date: October 3, 2003Entitled: Cycle GripSRAM Corporation  
(Name of Assignee)a Corporation  
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014491, Frame 0358, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Brian BenzerAugust 4, 2005

Signature

Date

Brian Benzer

312-664-8800

Printed or Typed Name

Telephone Number

Corporate Secretary

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APRIL 12, 2004

PTAS

\*700076322A\*

SRAM CORPORATION  
MILAN MILOSEVIC  
1333 N. KINGSBURY ST.  
4TH FLOOR  
CHICAGO, IL 60622

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/05/2004

REEL/FRAME: 014491/0358  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
AVID L.L.C.

DOC DATE: 03/08/2004

ASSIGNEE:  
SRAM CORPORATION  
1333 N. KINGSBURY ST.  
4TH FLOOR  
CHICAGO, ILLINOIS 60622

SERIAL NUMBER: 09753978  
PATENT NUMBER:

FILING DATE: 01/03/2001  
ISSUE DATE:

SERIAL NUMBER: 10113683  
PATENT NUMBER:

FILING DATE: 03/29/2002  
ISSUE DATE:

SERIAL NUMBER: 10316444  
PATENT NUMBER:

FILING DATE: 12/10/2002  
ISSUE DATE:

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014491/0358 PAGE 2

SERIAL NUMBER: 10316598  
PATENT NUMBER:FILING DATE: 12/10/2002  
ISSUE DATE:SERIAL NUMBER: 10316452  
PATENT NUMBER:FILING DATE: 12/10/2002  
ISSUE DATE:SERIAL NUMBER: 10647543  
PATENT NUMBER:FILING DATE: 08/25/2003  
ISSUE DATE:SERIAL NUMBER: 10679128  
PATENT NUMBER:FILING DATE: 10/03/2003  
ISSUE DATE:SERIAL NUMBER: 10679127  
PATENT NUMBER:FILING DATE: 10/03/2003  
ISSUE DATE:SERIAL NUMBER: 08237600  
PATENT NUMBER: 5448927FILING DATE: 05/03/1994  
ISSUE DATE: 09/12/1995SERIAL NUMBER: 08439420  
PATENT NUMBER: 5515743FILING DATE: 05/11/1995  
ISSUE DATE: 05/14/1996SERIAL NUMBER: 08471720  
PATENT NUMBER: 5538107FILING DATE: 06/06/1995  
ISSUE DATE: 07/23/1996SERIAL NUMBER: 08552372  
PATENT NUMBER: 5564531FILING DATE: 11/03/1995  
ISSUE DATE: 10/15/1996SERIAL NUMBER: 08552743  
PATENT NUMBER: 5624334FILING DATE: 11/03/1995  
ISSUE DATE: 04/29/1997SERIAL NUMBER: 08716505  
PATENT NUMBER: 5743284FILING DATE: 09/18/1996  
ISSUE DATE: 04/28/1998SERIAL NUMBER: 09393121  
PATENT NUMBER: 6230849FILING DATE: 08/25/1999  
ISSUE DATE: 05/15/2001SERIAL NUMBER: 09363131  
PATENT NUMBER: 6244396FILING DATE: 07/28/1999  
ISSUE DATE: 06/12/2001SERIAL NUMBER: 09649243  
PATENT NUMBER: 6340074FILING DATE: 08/28/2000  
ISSUE DATE: 01/22/2002SERIAL NUMBER: 09746908  
PATENT NUMBER: 6425464FILING DATE: 12/23/2000  
ISSUE DATE: 07/30/2002SERIAL NUMBER: 09854951  
PATENT NUMBER: 6431327FILING DATE: 05/14/2001  
ISSUE DATE: 08/13/2002SERIAL NUMBER: 09746907  
PATENT NUMBER: 6439077FILING DATE: 12/23/2000  
ISSUE DATE: 08/27/2002SERIAL NUMBER: 09811156  
PATENT NUMBER: 6491137FILING DATE: 03/16/2001  
ISSUE DATE: 12/10/2002

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014491/0358 PAGE 3

SERIAL NUMBER: 09685284  
PATENT NUMBER: 6520297

FILING DATE: 10/10/2000  
ISSUE DATE: 02/18/2003

SERIAL NUMBER: 09746905  
PATENT NUMBER: 6527089

FILING DATE: 12/23/2000  
ISSUE DATE: 03/04/2003

SERIAL NUMBER: 10179772  
PATENT NUMBER: 6607057

FILING DATE: 06/25/2002  
ISSUE DATE: 08/19/2003

ANTIONE ROYALL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS


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2004/APR/05/MON 11:07 AM SRAM CORPORATION FAX No. 3126648826

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Form PTO-1595 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005)		RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies): Avid LLC 2875 W. Oxford Ave., Unit 7 Englewood, Colorado 80110  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			2. Name and address of receiving party(ies) Name: SRAM Corporation  Internal Address: _____  Street Address: 1333 N. Kingsbury St. 4th Floor  City: Chicago State: IL Zip: 60622  Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____  Execution Date: 3/8/2004			4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) 09/753,978; 10/113,683; 10/315,444; 10/316,598 B. Patent No.(s) 5,448,927; 5,515,743; 5,538,107; 5,564,531 Additional numbers attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
5. Name and address of party to whom correspondence concerning document should be mailed: Name: SRAM Corporation Internal Address: Milan Milosevic  Street Address: 1333 N. Kingsbury St. 4th Floor City: Chicago State: IL Zip: 60622			6. Total number of applications and patents involved: 241 7. Total fee (37 CFR 3.41) \$ 960.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: _____		
DO NOT USE THIS SPACE					
9. Signature.  Milan Milosevic, Reg. No. 42,005 Name of Person Signing			 Signature 4/5/04 Date		
Total number of pages including cover sheet, attachments, and documents: 13					

Mail documents to be recorded with required cover sheet information to:  
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4/12/04 12:23

PAGE 6/6

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2004/APR/05/MON 11:08 AM

SRAM CORPORATION

FAX No. 3126648826

P. 008

Continuation of Item #4:

Additional patent applications against which the document is to be recorded.

10/316,452

10/647,543

10/679,128

10/679,127

Additional patent nos. against which the document is to be recorded.

5,624,334

5,743,284

6,230,849

6,244,398

6,340,074

6,425,484

6,431,327

6,439,077

6,491,137

6,520,297

6,527,089

6,607,057

**ASSIGNMENT**

WHEREAS, Avid L.L.C., a corporation duly organized and existing under the laws of the state of Colorado, United States of America with its principal place of business at 2875 West Oxford Avenue Unit 7, Englewood, CO 80110, United States of America, the current owner of entire title, right and interest of the utility and design patents and patent applications set forth below (the "Patents") having been issued or filed, as the case may be on the dates set forth below:

WHEREAS, SRAM Corporation (hereinafter referred to as "Assignee"), a corporation of the State of Illinois, with an address of 1333 North Kingsbury Street, 4<sup>th</sup> Floor, Chicago, Illinois 60622, desires to acquire our entire right, title and interest in and to the Patents and the inventions disclosed therein.

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we assign to Assignee, all right, title and interest in and to the Patents and the inventions disclosed therein, and we hereby authorize and request the Commissioner of Patents and Trademarks to issue all Patents insofar as our interests concerned to Assignee.

We also assign to Assignee all right, title and interest to the inventions disclosed in the Patents throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said inventions in SRAM Corporation's own name throughout the world including all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries, and we further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such right, title and interest in Assignee.

We will communicate to Assignee any facts known to us respecting any improvements, and at the expense of Assignee, we will testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make lawful oaths and declarations, and generally do everything possible to vest title in Assignee and to aid Assignee to obtain and enforce proper protection for said invention in all countries.

This Assignment shall be binding on the parties, successors, assigns and legal representatives.



- 1) Title of Invention: Adjustable Leverage Brake Lever  
Patent Number: 5,448,927  
Issue Date: September 12, 1995
- 2) Title of Invention: Adjustable Leverage Brake Lever  
Patent Number: 5,515,743  
Issue Date: May 14, 1996
- 3) Title of Invention: Off-Set Brake Arm  
Patent Number: 5,538,407  
Issue Date: July 23, 1996
- 4) Title of Invention: Adjustable Brake Arm and Shoe Mount For A Cycle Brake  
Patent Number: 5,564,531  
Issue Date: October 15, 1996
- 5) Title of Invention: Low-Friction Derailleur Cable Router  
Patent Number: 5,624,334  
Issue Date: April 29, 1997
- 6) Title of Invention: Cantilever Brake With Pad Attitude Control  
Patent Number: 5,743,284  
Issue Date: April 28, 1998
- 7) Title of Invention: Structure For Adjustably Attaching A Disc Brake Caliper To A Bicycle Frame  
Patent Number: 6,230,849  
Issue Date: May 15, 2001
- 8) Title of Invention: Brake Block For A Bicycle Having Replaceable Brake Pad Segments  
Patent Number: 6,244,396  
Issue Date: June 12, 2001
- 9) Title of Invention: Pad Wear Compensator For A Disc Brake Caliper  
Patent Number: 6,340,074  
Issue Date: January 22, 2002
- 10) Title of Invention: Friction Pad Assembly For A Disc Brake  
Patent Number: 6,425,464  
Issue Date: July 30, 2002
- 11) Title of Invention: Structure For Adjustably Attaching A Disc Brake Caliper To A Bicycle Frame  
Patent Number: 6,431,327  
Issue Date: August 13, 2002

- 12) Title of Invention: Cable Feed For A Cable Actuated Bicycle Component  
Patent Number: 6,438,077  
Issue Date: August 27, 2002
- 13) Title of Invention: Caliper Brake Lever Arm Return Spring Force Adjusting Apparatus And Method  
Patent Number: 6,491,137  
Issue Date: December 10, 2002
- 14) Title of Invention: Cable Feed For A Mechanical Ball Bearing Disc Brake  
Patent Number: 6,520,297  
Issue Date: February 18, 2003
- 15) Title of Invention: Caliper Drive For A Bicycle Ball Bearing Mechanical Disc Brake Caliper  
Patent Number: 6,527,089  
Issue Date: March 4, 2003
- 16) Title of Invention: Fixed Disc Brake Caliper With Pad Wear Compensator  
Patent Number: 6,607,057  
Issue Date: August 19, 2003
- 17) Title of Invention: Floating Cable Stop For A Cable Actuated Bicycle Component  
Publication No: 2001/0027695  
Publication Date: October 11, 2001
- 18) Title of Invention: Gimbaled Pad Support  
Publication No: 2003/0183460  
Publication Date: October 2, 2003
- 19) Title of Invention: Master Cylinder Lever For A Hydraulic Disc Brake Having Favorable Handle Pivot Geometry  
Publication No: 2003/0121739  
Publication Date: July 3, 2003
- 20) Title of Invention: Master Cylinder Lever For A Hydraulic Disc Brake Having On The Fly Dead band Adjustment  
Publication No: 2003/0121262  
Publication Date: July 3, 2003
- 21) Title of Invention: Master Cylinder Lever For A Hydraulic Disc Brake Having A Backpack Reservoir  
Publication No: 2003/0121756  
Publication Date: July 3, 2003



22) Title of Invention: Reach Adjustment Mechanism For A Master Cylinder Lever  
Of A Hydraulic Disc Brake  
Serial Number: 10/647,543  
Filing Date: August 25, 2003

23) Title of Invention: Symmetric Clamp Structure  
Serial Number: 10/679,128  
Filing Date: October 3, 2003

24) Title of Invention: Bicycle Grip  
Serial Number: 10/679,127  
Filing Date: October 3, 2003

On behalf of Avid LLC

Signature: 

Name of Person Signing: Wayne R. Lumpkin - Manager of Avid, LLC

Date: <sup>MARCH</sup> April 8, 2004

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